

# Model Theory - Lecture 9 - Prime models and categoricity

Question understood 'rigid' theories  $\rightarrow$  for this course only:  $\aleph_0$ -categoricity  
for example vector spaces over  $\mathbb{F}_p$

Technology Prime models

In this lecture a theory is always on a countable language

Definition: A model of a theory is "prime" if it embeds elementarily in every other model

We will see that

definition soon  
 $\uparrow$

1) theorem A model is prime iff it is atomic and countable

2) If  $\mathcal{P}$  is such that  $\text{th } \mathcal{P}^u$  is countable, then  $\mathcal{P}$  has a prime model  
 $\rightarrow$  space of types

In this lecture we'll see - omitting types theorem

- atomic models and their relation to primeness (A)  $\leftarrow$  (Proof)
  - characterization of having a prime model (B)
- $\downarrow$   $\downarrow$   
Shifted to the next lecture

Lemma Let  $\mathcal{Q}$  be a theory. Assume that

- $\mathcal{Q}$  is coherent and complete
- if  $\mathcal{Q} \models \exists x \varphi(x)$ , then  $\mathcal{Q} \models \varphi(c)$  for some constant  $c$  in the language

Then, there exists a model  $M$  of  $\mathcal{Q}$  such that every element is the interpretation of some constant

Proof  $\mathcal{Q}$  is coherent, let  $M$  be a model of  $\mathcal{Q}$ . Let  $C \subset |M|$  contain all the interpretation of the constants in the language

Consider a term  $t$  in the theory. Then

$$\mathcal{Q} \models \exists x (x=t),$$

and by the assumption on  $\mathcal{Q}$ ,  $t$  is a constant  $c_t$ . Thus,

$C$  is a substructure of  $M$  (it contains all of the terms)

We show  $C \in \text{Mod}(\mathcal{Q})$ . (Indeed, we will prove  $C \leftrightarrow M$  is elementary, via Tarski-Vaught principle. *It is enough to check primitive formulas.*) Let

$$M \models \exists x \varphi(x, a_1, \dots, a_n), \quad \text{with } a_i \in C, \text{ for } i=1, \dots, n,$$

by the assumption on  $\mathcal{Q}$ , we get

$$M \models \varphi(c, a_1, \dots, a_n), \quad \text{with } c \in C$$

as  $C$  is the set of constants. Then  $C \leftrightarrow M$  is elementary and we're done

Some definitions before the omitting type theorem

Definition Let  $\Sigma(x)$  be a 1-type. We say that  $\Sigma(x)$  is "finitely supported," if there is a formula  $\theta$  that implies all the formulas in  $\Sigma(x)$

Remark

- Note that finitely supported is not the same as complete
- Because we work in  $\text{FOL}$  we just need one  $\theta$  instead of a finite amount (in other logic we might not have finite conjunction).

Definition A type is "omitted," if there exists a model that does not model it

We move to the OTT.

Theorem (Omitting Type)  $\mathcal{P}$  coherent,  $\Sigma(x_1, \dots, x_n)$  an  $n$ -type that is not finitely supported. There exists a model which omits  $\Sigma$ .

Proof Let  $\{c_1, \dots\}$  be an enumeration of the constants in  $\mathcal{L}$  and  $\{\varphi_1, \dots\}$  an enumeration of the formulas of  $\mathcal{L}$ . Let  $\{k_1, \dots\}$  be a countable set of fresh constants we want to build

$$\mathcal{P}_0 = \mathcal{P}, \quad \mathcal{P}_0 \subset \mathcal{P}_1 \subset \dots \subset \bigcup_{n \in \mathbb{N}} \mathcal{P}_n = \overline{\mathcal{P}}, \quad \text{all in language } \mathcal{L} \cup \{k_n\}_{n \in \mathbb{N}}$$

so that  $\overline{\mathcal{P}}$  satisfies

- completeness and coherence

- $\overline{\mathcal{P}} \models \exists x \varphi(x) \rightsquigarrow \overline{\mathcal{P}} \models \varphi(c)$  for some constant  $c$ ,
- for all constants  $c$ , there exists  $\delta(x) \in \Sigma(x)$  such that  $\overline{\mathcal{P}} \models \neg \delta(c)$

$\mathcal{P}_0$  do so, let  $\mathcal{P}_0 = \mathcal{P}$ . Now assume  $\mathcal{P}_n$  is defined. If  $\mathcal{P}_n \cup \{\varphi_n\}$  is

coherent, define  $\mathcal{P}_n' = \mathcal{P}_n \cup \{\varphi_n\}$ , otherwise  $\mathcal{P}_n' = \mathcal{P}_n \cup \{\neg \varphi_n\}$

Moreover, if  $\varphi_n \equiv \exists x \psi$  for some formula  $\psi$ , then

$$\mathcal{P}_n'' = \mathcal{P}_n' \cup \{\exists x \psi \rightarrow \psi(k_n)\} \quad \rightarrow \text{up to reordering}$$

and let  $\mathcal{P}_n'' = \mathcal{P}_n'$  if  $\varphi_n$  is not of said form. We claim there

exists a formula  $\chi(x_1, \dots, x_n)$  such that  $\mathcal{P}_n'' = \mathcal{P}_n \cup \{\chi(x_1, \dots, x_n)\}$  → just take the  $x$

Since  $\Sigma(x)$  is not finitely supported,  $\mathcal{P}_n''$  cannot prove all the formulas in

$\Sigma(x)$ . Then, there exists  $\delta(x) \in \Sigma(x)$  such that  $\mathcal{P}_{n+1} = \mathcal{P}_n'' \cup \{\neg \delta(x)\}$  is

coherent. It is easy to prove  $\overline{\mathcal{P}}$  satisfies the properties for the lemma

and a model for  $\overline{\mathcal{P}}$  omits  $\Sigma(x)$  ▣

Definition A complete type  $\Sigma$  is "principal" if there is a formula  $\varphi$

such that  $V_{\varphi} = \{\Sigma\}$   $\rightarrow$  i.e. it is an isolated point in the space of types  
see the opens of the topology on the space of types

Definition A structure  $M$  in a theory  $\mathcal{L}$  is "atomic" if every finite tuple  $(a_i) \in |M|^n$  realizes a principal type for  $\mathcal{L}$

Theorem Countable atomic models are all isomorphic, when  $\mathcal{L}$  is complete.

Proof This goes by BEF starting from the empty partial isomorphism.

Then, consider  $(M, a_1, \dots, a_n) \cong_p (N, b_1, \dots, b_n)$  Let  $c \in |M|$

new We prove that, if the type of  $c$  over  $a_1, \dots, a_n$  is isolated,

then we can extend the isomorphism. This is just atomicity.

Let  $\Sigma(x)$  be that type. Moreover, it is realized, since  $\mathcal{L}$  is complete.

This completes the proof, since we choose the realizer in  $N$

to link to  $c$

■

Scholium Countable atomic models are prime

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Corollary of the proof

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